Award Number: DAMD17-02-1-0484

TITLE: Gene Expression Analysis of Breast Cancer Progression

PRINCIPAL INVESTIGATOR: William L Gerald, M.D., Ph.D.

CONTRACTING ORGANIZATION: Sloan-Kettering Institute for Cancer

Research

New York, New York 10021

REPORT DATE: July 2003

TYPE OF REPORT: Annual

PREPARED FOR: U.S. Army Medical Research and Materiel Command

Fort Detrick, Maryland 21702-5012

DISTRIBUTION STATEMENT: Approved for Public Release;

Distribution Unlimited

The views, opinions and/or findings contained in this report are those of the author(s) and should not be construed as an official Department of the Army position, policy or decision unless so designated by other documentation.

# REPORT DOCUMENTATION PAGE

Form Approved OMB No. 074-0188

Public reporting burden for this collection of information is estimated to average 1 hour per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining reducing this burden to Washington Headquarters Services, Directorate for Information Operations and Reports, 1215 Jefferson Davis Highway, Suite 1204, Arlington, VA 22202-4302, and to the Office of

Management and Budget, Paperwork Reduction Proje	vices, Directorate for Information Operations are ect (0704-0188), Washington, DC 20503	aing this burden estimate or any of and Reports, 1215 Jefferson Davis I	ner aspect of this colle lighway, Suite 1204, A	ction of information, including su Arlington, VA 22202-4302, and t	ggestions for the Office of
1. AGENCY USE ONLY	2. REPORT DATE	3. REPORT TYPE AND DATES COVERED			
(Leave blank)	July 2003	Annual (17 Jun			
4. TITLE AND SUBTITLE			5. FUNDING		
Gene Expression Analysis of Breast Cancer			DAMD17-02-1-0484		
Progression			2.11.12.17	02 1 0404	
		:			
6. AUTHOR(S)					
William L Gerald, M.	D., Ph.D.				
		·		10	
				•	
7. PERFORMING ORGANIZATION NAM	ME(S) AND ADDRESSIES				
Sloan-Kettering Inst	itute for Cancer		8. PERFORMIN REPORT NU	IG ORGANIZATION	
Research	202 041.002	4		,	
New York, New York 1	0021				
E-Mail: Geraldw@mskcc.org			a l	•	
9. SPONSORING / MONITORING					
AGENCY NAME(S) AND ADDRESS	(ES)			ING / MONITORING	
U.S. Army Medical Resear		nd	AGENCY	REPORT NUMBER	
Fort Detrick, Maryland	21702-5012	.IIQ			
		·			
44 OUDDI FARENTADIA					
11. SUPPLEMENTARY NOTES					
					E.
				•	
12a. DISTRIBUTION / AVAILABILITY S	TATEMENT			12h DIETDIDUTION	CODE
Approved for Public Rele		imited		12b. DISTRIBUTION	CODE
		- <del></del>		1	

### 13. ABSTRACT (Maximum 200 Words)

Breast cancer (BC) is a heterogeneous disease with varying clinical behavior, and response to therapy that cannot be predicted based on clinical and pathologic classifications. It is the primary goal of our research to identify and characterize biological pathways and individual molecular components that play a primary role in BC development and progression. In order to identify genes, gene expression profiles and molecular pathways associated with metastatic BC we have performed genome-wide gene expression analysis of a large number of breast cancer samples. Both unsupervised and supervised analyses are being used to identify genes differentially expressed among samples. Hierarchical clustering showed that most samples grouped according to estrogen receptor status. In addition, matched primary carcinomas and lymph node metastases tended to pair demonstrating marked conservation of molecular phenotype within patients. Formal statistical testing is being used to identify genes with marked changes in expression during progression. Lymph node metastases in particular showed significant decreases in the expression of many genes corresponding to extracellular matrix proteins and proteases when compared to matched primaries. Further expression changes in a variety of genes were associated with distant metastases. Immunohistochemistry and in situ hybridization are being used to validate and extend findings.

14. SUBJECT TERMS			
14. CODOLOT TERMIS		1	15. NUMBER OF PAGES
			6
	<b>*</b>		16. PRICE CODE
17. SECURITY CLASSIFICATION OF REPORT	18. SECURITY CLASSIFICATION OF THIS PAGE	19. SECURITY CLASSIFICATION OF ABSTRACT	20. LIMITATION OF ABSTRACT
Unclassified	Unclassified	Unclassified	Unlimited

NSN 7540-01-280-5500

Standard Form 298 (Rev. 2-89) Prescribed by ANSI Std. Z39-18 298-102

# **Table of Contents**

Cover	1
SF 298	2
Table of Contents	3
Introduction	4
Body	4
Key Research Accomplishments	6
Reportable Outcomes	6
Conclusions	6
References	6
Appendices	6

#### INTRODUCTION

Breast cancer (BC) is a heterogeneous disease with varying clinical behavior and response to therapy that cannot be predicted based on existing clinical and pathologic classifications. This has led to an intense effort to understand the biology of BC and a search for genes and gene products that play a major role in tumor development and progression. A comprehensive analysis of gene expression can provide crucial clues concerning the intrinsic biology of a cancer and ultimately contribute to diagnostic decisions and therapies tailored to an individual patient. New, high-throughput mRNA analysis platforms, such as DNA microarrays, allow comprehensive measurement of gene expression and can produce large data sets with the potential to provide novel insights into biology at the molecular level. Our studies are designed to identify gene expression profiles that are associated with tumor progression and can be used for discrimination of clinically relevant subgroups of BC. An understanding of the mechanisms that drive progression of BC will provide biomarkers for diagnosis, risk stratification and therapeutic targets that could have an enormous impact on the care of these patients. specific aims of our project are: 1) To identify the genes, gene expression profiles and molecular pathways associated with metastatic BC using microarray based, gene expression analysis and comparison of concurrent primary and metastatic tumors within the same patients. 2) To identify gene expression differences associated with clinical outcome by comparison of comprehensive expression profiles from stage and histology matched primary BCs in patients with long term recurrence-free survival and patients that die of metastatic disease.

#### **BODY**

<u>Task 1</u> To identify the genes, gene expression profiles and molecular pathways associated with metastatic breast cancer using microarray based, gene expression analysis and comparison of concurrent primary and metastatic tumors within the same patients

- a. Evaluation and selection of tumor cases to be used (months 1-6)
- b. Microdissection of frozen tissue, RNA preparation and analysis. (months 3-9)
- c. Microarray screen and analysis of data (months 6-12
- d. Characterization of differentially expressed genes (months 9-24)
- e. Design and optimization of methods for molecular testing in additional samples (quantitative RT-PCR, in situ hybridization, etc). (months 18-30)
- f. Testing of additional cases and data analysis (months 24-36)

We have completed all tasks originally proposed for the first year of funding. Specifically we have identified and processed all tissue samples planned for specific aims 1 and 2. For specific aim 1, RNA has been isolated from 8 non-neoplastic mammary epithelium, 23 paired primary and lymph node metastasis within the same patients, 12 metastatic breast carcinomas from different sites and 3 non-neoplastic lymph nodes. Labeled cRNA target from these samples has been subjected to gene expression analysis using oligonucleotides microarrays with features for over 33000 genes/ESTs. Both unsupervised and supervised analyses are being used to identify genes differentially expressed among samples.

Hierarchical clustering of the gene expression data showed that most samples grouped according to estrogen receptor status (ER). In addition, the matched primary carcinomas and lymph node metastases have global expression profiles more similar to each other than to other breast cancers and tended to cluster together (Figure 1).. Formal statistical testing identified

genes that had marked changes in expression during progression. In particular lymph node metastases showed significant decreases in the expression of many genes corresponding to extracellular matrix proteins and proteases when compared to matched primaries. Very few genes were overexpressed in lymph node metastases compared to the corresponding primary. Further expression changes in a variety of genes were associated with distant metastases. Immunohistochemistry and in situ hybridization are being used to validate and extend findings.

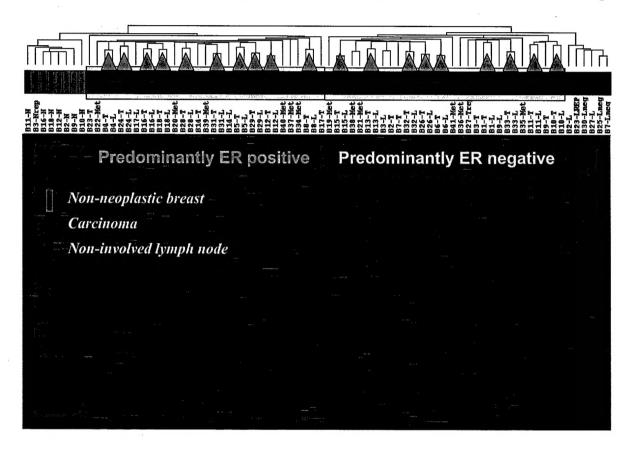


Figure 1. Hierarchical clustering of samples based on 10,000 U133 probe sets with greatest variation. Note clustering of ER positive (yellow background) and ER negative samples (light blue background) and pairing of matched primary and lymph node metastasis from the same patient (green triangles). Sample types are indicated by colored boxes.

<u>Task 2</u> To identify gene expression differences associated with clinical outcome by comparison of comprehensive expression profiles from stage and histology matched primary breast cancers in patients with long term recurrence-free survival and patients that die of metastatic disease.

- a. Evaluation and selection of tumor cases to be used (months 3-9)
- b. Microdissection of frozen tissue, RNA preparation and analysis. (months 6-12)
- c. Microarray screen and analysis of data (months 9-15
- d. Characterization of differentially expressed genes (months 12-24)
- e. Design and optimization of methods for molecular testing in additional samples (quantitative RT-PCR, in situ hybridization, etc). (months 18-30)

f. Testing of additional cases and data analysis (months 24-36)

For specific aim 2, we have identified, processed and performed expression analysis for 72 early stage primary breast cancers with appropriate outcome data that are matched for stage and treatment. Forty-six have no recurrence with a median follow-up of about 7 years and 26 have had a distant recurrence. We have performed an initial evaluation and found that the data quality is good and are beginning more formal analysis. These data will be further explored in year 2 of funding.

### KEY RESEARCH ACCOMPLISHMENTS

- 1) Evaluation and selection of tumor cases to be used for specific aims 1 and 2
- 2) Microdissection of frozen tissue, RNA preparation and analysis of all samples.
- 3) Microarray based gene expression analysis of all samples.
- 4) Initial analysis of data from specific aim 1 and identification of differentially expressed genes.
- 5) Validation of differential expression at the RNA and protein level in progress for select genes.

### REPORTABLE OUTCOMES

Comprehensive gene expression analysis of paired primary breast carcinomas and lymph node metastases. MCV. Donaton, D Giri, A Olshen, K Panageas, S Levcovici, P Lal, E Brogi, C Hudis, K VanZee, L Tan, W Gerald. Abstract presentation American Association of Cancer Research, 2003.

Gene expression differences between paired primary and metastatic breast carcinomas.

D Giri, M Donaton, A Olshen, K Panageas, P Lal, S Levcovici, E Brogi, C Hudis, K VanZee, L Tan, W Gerald. Abstract presentation United States and Canadian Academy of Pathology, 2003.

#### **CONCLUSIONS**

Comprehensive gene expression analysis of archived breast cancer samples is feasible. Molecular subgroups of breast carcinoma identified by gene expression analysis are strongly influenced by the ER status of the tumor. The gene expression profiles of paired primary and metastatic breast carcinomas are remarkably similar and the differences observed appear to reflect different microenvironments and tissue specific responses to tumor growth. Taken together, these results suggest that molecular features of breast carcinomas metastatic to lymph nodes are largely present in the primary tumor and might have been acquired early in tumorigenesis. Further analysis of primary tumors from patients with differing outcomes will help to define genes associated with progression.

#### REFERENCES

None

#### **APPENDICES**

None